

# THE GENERALISED HAUSDORFF MEASURE OF SETS OF DIRICHLET NON-IMPROVABLE NUMBERS

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ABSTRACT. Let  $\psi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  be a non-increasing function. A real number  $x$  is said to be  $\psi$ -Dirichlet improvable if the system

$$|qx - p| < \psi(t) \quad \text{and} \quad |q| < t$$

has a non-trivial integer solution for all large enough  $t$ . Denote the collection of such points by  $D(\psi)$ . Hussain-Kleinbock-Wadleigh-Wang (2018) proved the Hausdorff measure of the set  $D^c(\psi)$  (the set of  $\psi$ -Dirichlet non-improvable numbers) by showing that it obeys a zero-infinity law for a large class of (essentially sub-linear) dimension functions. In this paper, we prove a new zero-infinity law valid for all dimension functions under natural non-restrictive conditions. Some of the consequences are the zero-infinity laws for all essentially sub-linear dimension functions, for some non-essentially sub-linear dimension functions, and for all dimension functions but with a growth condition on the approximating function.

## 1. INTRODUCTION

At its most fundamental level, the theory of Diophantine approximation is concerned with the question of how well a real number can be approximated by rationals. A qualitative answer is provided by the fact that the set of rational numbers is dense in the real numbers. Seeking a quantitative answer leads to the theory of metric Diophantine approximation. Dirichlet's theorem (1842) is the starting point in this theory.

**Theorem 1.1** (Dirichlet, 1842). *Given  $x \in \mathbb{R}$  and  $t > 1$ , there exist integers  $p, q$  such that*

$$|qx - p| \leq 1/t \quad \text{and} \quad 1 \leq q < t.$$

An easy consequence (known before Dirichlet) is the following global statement concerning the 'rate' of rational approximation to any real number.

**Corollary 1.2.** *For any  $x \in \mathbb{R}$ , there exist infinitely many integers  $p$  and  $q > 0$  such that*

$$|qx - p| < 1/q.$$

A strengthening of this corollary is the classical  $\Psi$ -approximable set, here stated with a slight change of notation. Let  $\Psi : [q_0, \infty) \rightarrow \mathbb{R}_+$  be a non-decreasing function with  $q_0 \geq 1$  fixed. Then

$$\mathcal{K}(\Psi) := \left\{ x \in [0, 1) : \left| x - \frac{p}{q} \right| < \frac{1}{q^2 \Psi(q)}, \text{ for infinitely many } (p, q) \in \mathbb{Z} \times \mathbb{N} \right\}.$$

A comprehensive metrical theory (Lebesgue measure, Hausdorff measure and Hausdorff dimension) for the set  $\mathcal{K}(\Psi)$  is well-known, see for example [3]. To transition into the statement of results we first introduce necessary notations.

**Notation.** Here and throughout by a *dimension function*, we mean an increasing, continuous function  $f : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  such that  $f(r) \rightarrow 0$  as  $r \rightarrow 0$ . For real quantities  $A, B$  that depend on parameters, we write  $A \lesssim B$  if  $A \leq cB$  for a constant  $c > 0$  that is independent of those parameters. We write  $B \asymp A$  if  $A \lesssim B \lesssim A$ . To simplify the presentation, we

start by fixing some notation. We use  $A \gg B$  to indicate that  $|A/B|$  is sufficiently large. We use  $\mathcal{L}(\cdot)$ ,  $\dim_{\mathcal{H}}$  and  $\mathcal{H}^f$  to denote the Lebesgue measure, Hausdorff dimension, and  $f$ -dimensional Hausdorff measure, respectively.

We state the most modern result from the seminal paper of Beresnevich-Velani [3, Theorem 2] with a slight improvement as noted in [9, Theorem 2.6].

**Theorem 1.3** (Jarník, 1931). *Let  $\psi$  be a non-increasing function, and let  $f$  be a dimension function satisfying the following properties:*

$$\lim_{x \rightarrow 0} \frac{f(x)}{x} = \infty, \quad (1.1)$$

and

$$\exists C \geq 1 \text{ such that } \frac{f(x_2)}{x_2} \leq C \frac{f(x_1)}{x_1} \text{ whenever } x_1 < x_2 \ll 1. \quad (1.2)$$

Then

$$\mathcal{H}^f(\mathcal{K}(\Psi)) = \begin{cases} 0 & \text{if } \sum_t t f\left(\frac{1}{t^2 \Psi(t)}\right) < \infty; \\ \infty & \text{if } \sum_t t f\left(\frac{1}{t^2 \Psi(t)}\right) = \infty. \end{cases}$$

**Remark 1.4.** *Note that the difference in the result of Beresnevich-Velani [3, Theorem 2] with the result [9, Theorem 2.6] is the weakening of the monotonicity condition “ $x^{-1}f(x)$  to be decreasing” to the quasi-monotonicity condition given in (1.2). The condition is only required for the divergence case and the convergence case is free from any assumptions on the dimension and the approximating functions.*

Kleinbock-Wadleigh [14] considered improvements to Dirichlet’s theorem (Theorem 1.1) by considering the following set

$$D(\psi) := \left\{ x \in [0, 1) : \begin{array}{l} \exists N \text{ such that the system } |qx - p| < \psi(t), |q| < t \\ \text{has a nontrivial integer solution for all } t > N \end{array} \right\}.$$

Here and throughout,  $\psi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  denote a non-increasing function. For reference, a real number  $x$  will be called  $\psi$ -Dirichlet improvable if  $x \in D(\psi)$ , and elements of the complementary set,  $D^c(\psi)$ , will be referred to as  $\psi$ -Dirichlet non-improvable numbers. The main result of [14] was a Lebesgue dichotomy statement. To state their result and other results of the paper, we introduce an auxiliary function

$$\Psi(t) := \frac{t\psi(t)}{1 - t\psi(t)} = \frac{1}{1 - t\psi(t)} - 1. \quad (1.3)$$

**Theorem 1.5** ([14], Theorem 1.8). *Let  $\psi$  be non-increasing, and suppose the function  $t \mapsto t\psi(t)$  be non-decreasing and  $t\psi(t) < 1$  for all large  $t$ . Then,*

$$\mathcal{L}(D^c(\psi)) = \begin{cases} 0 & \text{if } \sum_t \frac{\log \Psi(t)}{t\Psi(t)} < \infty; \\ 1 & \text{if } \sum_t \frac{\log \Psi(t)}{t\Psi(t)} = \infty. \end{cases}$$

The generalised  $f$ -Hausdorff measure of the set  $D^c(\psi)$  has been proved in [9] for a large class of dimension functions called, the essentially sub-linear (ESL) dimension functions satisfying the condition,

$$\text{there exists } B > 1 \text{ such that } \limsup_{x \rightarrow 0} \frac{f(Bx)}{f(x)} < B. \quad (1.4)$$

As noted in [9, Lemma 3.1], a dimension function satisfying (1.4) also satisfies (1.1) and (1.2). This condition is clearly satisfied for the dimension functions  $f(x) = x^s$  when  $0 \leq s < 1$ . It does not hold for  $f(x) = x$  or  $f(x) = x \log(1/x)$ .

**Theorem 1.6** ([9], 2018). *Let  $\psi$  be a non-increasing positive function with  $t\psi(t) < 1$  for all large  $t$ . Let  $f$  be a dimension function such that (1.4) is satisfied. Then*

$$\mathcal{H}^f(D^c(\psi)) = \begin{cases} 0 & \text{if } \sum_q qf\left(\frac{1}{q^2\Psi(q)}\right) < \infty; \\ \infty & \text{if } \sum_q qf\left(\frac{1}{q^2\Psi(q)}\right) = \infty. \end{cases}$$

**Remark 1.7.** *The ESL condition (1.4) comes into play for establishing the equivalence of the series*

$$\sum_{q=1}^{\infty} qf\left(\frac{1}{q^2\Psi(q)}\right) \asymp \sum_{q=1}^{\infty} \sum_{1 \leq p \leq q} f\left(\frac{1}{pq\Psi(q)}\right). \quad (1.5)$$

Where the series of the right hand side arises as a covering argument for the set  $D^c(\psi)$  and the series on the left hand side appears in Jarník's theorem 1.3 stated above.

Note that, even if Theorem 1.6 holds for  $s = 1$ , the sum condition  $\sum \frac{1}{t\Psi(t)}$  of this theorem is weaker than the sum condition of Theorem 1.5,  $\sum_t \frac{\log \Psi(t)}{t\Psi(t)}$ , by a 'log' factor. Hence, naturally, it is desirable to establish the  $\mathcal{H}^f$ -measure for the set  $D^c(\psi)$  for non-essentially sub-linear (NESL) dimension functions. A dimension function  $f$  is NESL if it satisfies,

$$\text{for every } B > 1, \limsup_{x \rightarrow 0} \frac{f(Bx)}{f(x)} \geq B. \quad (1.6)$$

The main result of this paper is the following zero-infinity dichotomy statement which is free from any ESL or NESL assumptions on the dimension functions.

**Theorem 1.8.** *Let  $\psi$  be a non-increasing positive function with  $t\psi(t) < 1$  for all large  $t$ . Let  $f$  be a dimension function such that  $x \mapsto x^{-1}f(x)$  is decreasing, and  $x^{-1}f(x) \rightarrow \infty$  as  $x \rightarrow 0$ . Then*

$$\mathcal{H}^f(D^c(\psi)) = \begin{cases} 0 & \text{if } \sum_{k=1}^{\infty} \sum_{j < \log_2 \Psi(2^k)} 2^{2k+j} f\left(\frac{2^{-(2k+j)}}{\Psi(2^{k+j})}\right) < \infty; \\ \infty & \text{if } \sum_{k=1}^{\infty} \sum_{j < \log_2 \Psi(2^k)} 2^{2k+j} f\left(\frac{2^{-(2k+j)}}{\Psi(2^{k+j})}\right) = \infty. \end{cases}$$

Some of the consequences of this theorem are the following important corollaries.

**Corollary 1.9.** *Let  $\psi$  be a non-increasing positive function with  $t\psi(t) < 1$  for all large  $t$ . Let  $a_i \in \mathbb{R}_{\geq 0}$  for  $1 \leq i \leq n$ , and*

$$f(x) = x(\log(1/x))^{a_1} x(\log \log(1/x))^{a_2} \cdots x(\log \log \cdots \log(1/x))^{a_n}. \quad (1.7)$$

Then

$$\mathcal{H}^f(D^c(\psi)) = \begin{cases} 0 & \text{if } \sum_q q \log(\Psi(q)) f\left(\frac{1}{q^2\Psi(q)}\right) < \infty; \\ \infty & \text{if } \sum_q q \log(\Psi(q)) f\left(\frac{1}{q^2\Psi(q)}\right) = \infty. \end{cases}$$

**Corollary 1.10.** *Let  $\psi$  be a non-increasing positive function with  $t\psi(t) < 1$  for all large  $t$ . Let  $f$  be a dimension function such that  $x \mapsto x^{-1}f(x)$  is decreasing, and  $x^{-1}f(x) \rightarrow \infty$  as  $x \rightarrow 0$ . Let  $\Psi$  be as in (1.3) such that, for all  $x > 0$  and  $Q > 1$ , the following condition holds*

$$\Psi(Q^x) \asymp \Psi(Q), \quad (1.8)$$

where the implied constant depends only on  $x$ . Then

$$\mathcal{H}^f(D^c(\psi)) = \begin{cases} 0 & \text{if } \sum_q q \log(\Psi(q)) f\left(\frac{1}{q^2\Psi(q)}\right) < \infty; \\ \infty & \text{if } \sum_q q \log(\Psi(q)) f\left(\frac{1}{q^2\Psi(q)}\right) = \infty. \end{cases}$$

Note that the condition (1.8) is trivially satisfied for approximating function such as  $\Psi(Q) = \log(Q)$ . The following corollary retrieves the main theorem of [9].

**Corollary 1.11** ([9], Theorem 1.6). *Let  $\psi$  be a non-increasing positive function with  $t\psi(t) < 1$  for all large  $t$ . Let  $f$  be a dimension function such that (1.4) is satisfied. Then*

$$\mathcal{H}^f(D^c(\psi)) = \begin{cases} 0 & \text{if } \sum_q q f\left(\frac{1}{q^2\Psi(q)}\right) < \infty; \\ \infty & \text{if } \sum_q q f\left(\frac{1}{q^2\Psi(q)}\right) = \infty. \end{cases}$$

**Corollary 1.12.** *Let  $\psi$  be a non-increasing positive function with  $t\psi(t) < 1$  for all large  $t$ . Let  $a \geq 0$ , and  $f(x) = x \log(1/x)^a$ . Then*

$$\mathcal{H}^f(D^c(\psi)) = \begin{cases} 0 & \text{if } \sum_q \frac{(\log(q))^a \log(\Psi(q))}{q\Psi(q)} < \infty; \\ \infty & \text{if } \sum_q \frac{(\log(q))^a \log(\Psi(q))}{q\Psi(q)} = \infty. \end{cases}$$

From the corollaries it should be clear that Theorem 1.8 is quite powerful giving information for almost all dimension functions. However, there are certain dimension functions such as  $f(x) = xe^{(\log(\frac{1}{x}))^\beta}$  for  $\beta < 1$ , for which we are unable to extract conclusive information (from Theorem 1.8) for the Hausdorff measure of the set  $D^c(\psi)$ .

We refer the reader to [13, 15] for the metrical theory of the higher dimensional affine form version of the set  $D^c(\psi)$ .

**Acknowledgements.** The second and third named authors are supported by the Australian Research Council Discovery Project (ARC DP200100994). The third named author is a Royal Society University Research Fellow. We thank Professor Dmitry Kleinbock and Professor Baowei Wang for many useful discussions. Finally, we thank an anonymous referee for a careful reading of the paper, pointing out some pertinent questions that lead us to correct some of the proofs.

## 2. CONTINUED FRACTIONS AND DIRICHLET IMPROVABILITY

The starting point for the work of Davenport & Schmidt [5] and Kleinbock & Wadleigh [14] is an observation that Dirichlet improvability is equivalent to a condition on the growth rate of partial quotients. To recall this connection, we start off with some of the basic properties of continued fractions.

Define the Gauss transformation  $T : [0, 1) \rightarrow [0, 1)$  by

$$T(0) := 0, \quad T(x) := \frac{1}{x} \pmod{1}, \quad \text{for } x \in (0, 1).$$

Every  $x \in [0, 1)$  has a *continued fraction expansion*,

$$x = \frac{1}{a_1(x) + \frac{1}{a_2(x) + \frac{1}{a_3(x) + \ddots}}} := [a_1(x), a_2(x), \dots]$$

where  $a_1, a_2, \dots$  are positive integers called the *partial quotients* of  $x$  and  $a_n(x) = \lfloor 1/T^{n-1}(x) \rfloor$  for each  $n \geq 1$ . We also write  $p_n/q_n = [a_1, \dots, a_n]$  ( $p_n, q_n$  coprime) for the  $n$ 'th convergent of  $x$ . With the conventions  $p_{-1} = 1$ ,  $q_{-1} = 0$ ,  $p_0 = 0$  and  $q_0 = 1$ , these sequences can be generated by the following recursive relations, see [12] for further details,

$$p_{n+1} = a_{n+1}(x)p_n + p_{n-1}, \quad q_{n+1} = a_{n+1}(x)q_n + q_{n-1}, \quad n \geq 0. \quad (2.1)$$

Thus  $p_n = p_n(x), q_n = q_n(x)$  are determined by the partial quotients  $a_1, \dots, a_n$ , so we may write  $p_n = p_n(a_1, \dots, a_n), q_n = q_n(a_1, \dots, a_n)$ . When it is clear which partial quotients are involved, we denote them by  $p_n, q_n$  for simplicity.

For any integer vector  $(a_1, \dots, a_n) \in \mathbb{N}^n$  with  $n \geq 1$ , write

$$I_n(a_1, \dots, a_n) := \{x \in [0, 1) : a_1(x) = a_1, \dots, a_n(x) = a_n\}$$

for the corresponding ‘cylinder of order  $n$ ’, i.e. the set of all real numbers in  $[0, 1)$  whose continued fraction expansions begin with  $(a_1, \dots, a_n)$ .

We will frequently use the following well known properties of continued fraction expansions. They are explained in the standard texts [11, 12].

**Proposition 2.1.** *For any positive integers  $a_1, \dots, a_n$ , let  $p_n = p_n(a_1, \dots, a_n)$  and  $q_n = q_n(a_1, \dots, a_n)$  be defined recursively by (2.1). Then:*

(P<sub>1</sub>)

$$I_n(a_1, a_2, \dots, a_n) = \begin{cases} \left[ \frac{p_n}{q_n}, \frac{p_n+p_{n-1}}{q_n+q_{n-1}} \right] & \text{if } n \text{ is even;} \\ \left( \frac{p_n+p_{n-1}}{q_n+q_{n-1}}, \frac{p_n}{q_n} \right] & \text{if } n \text{ is odd.} \end{cases}$$

Thus, its length is given by

$$\frac{1}{2q_n^2} \leq |I_n(a_1, \dots, a_n)| = \frac{1}{q_n(q_n + q_{n-1})} \leq \frac{1}{q_n^2},$$

since

$$p_{n-1}q_n - p_nq_{n-1} = (-1)^n, \text{ for all } n \geq 1.$$

(P<sub>2</sub>)

$$\frac{1}{3a_{n+1}(x)q_n^2(x)} < \left| x - \frac{p_n(x)}{q_n(x)} \right| = \frac{1}{q_n(x)(q_{n+1}(x) + T^{n+1}(x)q_n(x))} < \frac{1}{a_{n+1}q_n^2(x)}.$$

The results of [5, 14] rely crucially on the following observation,

$$\begin{aligned} x \in D(\psi) &\iff |q_{n-1}x - p_{n-1}| < \psi(q_n) \text{ for all } n \gg 1 \\ &\iff [a_{n+1}, a_{n+2}, \dots] \cdot [a_n, a_{n-1}, \dots, a_1] < \frac{1}{\Psi(q_n)} \text{ for all } n \gg 1. \end{aligned}$$

Where the auxiliary function  $\Psi$  is defined in (1.3). This leads to the following criterion for Dirichlet improvability.

**Lemma 2.2** ([14], Lemma 2.2). *Let  $x \in [0, 1) \setminus \mathbb{Q}$ , and let  $\psi : [t_0, \infty) \rightarrow \mathbb{R}_+$  be non-increasing. Then*

- (i)  $x$  is  $\psi$ -Dirichlet improvable if  $a_{n+1}(x)a_n(x) \leq \Psi(q_n)/4$  for all sufficiently large  $n$ .
- (ii)  $x$  is  $\psi$ -Dirichlet non-improvable if  $a_{n+1}(x)a_n(x) > \Psi(q_n)$  for infinitely many  $n$ .

As a consequence of this lemma, and some elementary properties of continued fractions (see [9, §2], we have the inclusions

$$\mathcal{K}(3\Psi) \subseteq G_1(\Psi) \subset G(\Psi) \subset D^c(\psi) \subset G(\Psi/4),$$

where

$$\begin{aligned} G(\Psi) &:= \left\{ x \in [0, 1) : a_n(x)a_{n+1}(x) > \Psi(q_n(x)) \text{ for i.m. } n \in \mathbb{N} \right\}, \\ G_1(\Psi) &:= \left\{ x \in [0, 1) : a_{n+1}(x) > \Psi(q_n(x)) \text{ for i.m. } n \in \mathbb{N} \right\}, \\ \mathcal{K}(3\Psi) &:= \left\{ x \in [0, 1) : \left| x - \frac{p}{q} \right| < \frac{1}{3q^2\Psi(q)} \text{ for i.m. } (p, q) \in \mathbb{Z} \times \mathbb{N} \right\}. \end{aligned}$$

Hence Theorems 1.6 and 1.8 can be restated in terms of the set  $G(\Psi)$  as:

**Theorem 2.3** ([9], 2018). *Let  $\Psi : [t_0, \infty) \rightarrow \mathbb{R}_+$  be a non-decreasing function. Let  $f$  be a dimension function such that (1.4) is satisfied. Then*

$$\mathcal{H}^f(G(\Psi)) = \begin{cases} 0 & \text{if } \sum_q qf\left(\frac{1}{q^2\Psi(q)}\right) < \infty; \\ \infty & \text{if } \sum_q qf\left(\frac{1}{q^2\Psi(q)}\right) = \infty. \end{cases}$$

**Theorem 2.4.** *Let  $\Psi : [t_0, \infty) \rightarrow \mathbb{R}_+$  be a non-decreasing function. Let  $\psi$  be a non-increasing positive function with  $t\psi(t) < 1$  for all large  $t$ . Let  $f$  be a dimension function such that  $x \mapsto x^{-1}f(x)$  is decreasing, and  $x^{-1}f(x) \rightarrow \infty$  as  $x \rightarrow 0$ . Then*

$$\mathcal{H}^f(G(\Psi)) = \begin{cases} 0 & \text{if } \sum_{k=1}^{\infty} \sum_{j < \log_2 \Psi(2^k)} 2^{2k+j} f\left(\frac{2^{-(2k+j)}}{\Psi(2^{k+j})}\right) < \infty; \\ \infty & \text{if } \sum_{k=1}^{\infty} \sum_{j < \log_2 \Psi(2^k)} 2^{2k+j} f\left(\frac{2^{-(2k+j)}}{\Psi(2^{k+j})}\right) = \infty. \end{cases}$$

It can readily be seen that the divergence case of Jarnik's theorem played a pivotal role in proving the divergence case of the Theorem 1.6. Further, it is worth pointing out that the difference set  $G(\Psi) \setminus \mathcal{K}(3\Psi)$  is non-trivial as the Hausdorff dimension of this set is the same as that of  $G(\psi)$  as proved in [1]. We refer the reader to [2, 8] for the Lebesgue measure and Hausdorff dimension results for a generalised form of the set  $G(\Psi)$ .

For any  $\tau \geq 0$ , as a consequence of Theorem 2.3, the Hausdorff dimension of the sets of Dirichlet non-improvable numbers with prescribed order of approximation  $\tau$  can be deduced. Define the set with *order*  $\tau$  as

$$G(\tau) := \left\{ x \in [0, 1) : \limsup_{n \rightarrow \infty} \frac{\log(a_n(x)a_{n+1}(x))}{\log q_n(x)} \geq \tau \right\}$$

and *exact order*  $\tau$  as

$$G(\tau_{\text{exac}}) := \left\{ x \in [0, 1) : \limsup_{n \rightarrow \infty} \frac{\log(a_n(x)a_{n+1}(x))}{\log q_n(x)} = \tau \right\}.$$

**Corollary 2.5.**

$$\dim_{\mathcal{H}} G(\tau) = G(\tau_{\text{exac}}) = \frac{2}{\tau + 2}.$$

We refer the reader to [7] for the Hausdorff dimension of level sets obtained by replacing  $\limsup$  with  $\lim$  in the definition of  $G(\tau_{\text{exac}})$ .

Finally, for completeness we give a very brief introduction to Hausdorff measures and dimension. For further details we refer to the beautiful texts [4, 6].

### 2.1. Hausdorff measure and dimension.

Let  $f$  be a dimension function and let  $E \subset \mathbb{R}^n$ . Then, for any  $\rho > 0$  a countable collection  $\{B_i\}$  of balls in  $\mathbb{R}^n$  with diameters  $\text{diam}(B_i) \leq \rho$  such that  $E \subset \bigcup_i B_i$  is called a  $\rho$ -cover of  $E$ . Let

$$\mathcal{H}_\rho^f(E) = \inf \sum_i f(\text{diam}(B_i)),$$

where the infimum is taken over all possible  $\rho$ -covers  $\{B_i\}$  of  $E$ . It is easy to see that  $\mathcal{H}_\rho^f(E)$  increases as  $\rho$  decreases and so approaches a limit as  $\rho \rightarrow 0$ . This limit could be zero or infinity, or take a finite positive value. Accordingly, the *Hausdorff  $s$ -measure*  $\mathcal{H}^f$  of  $E$  is defined to be

$$\mathcal{H}^f(E) = \lim_{\rho \rightarrow 0} \mathcal{H}_\rho^f(E).$$

It is easily verified that Hausdorff measure is monotonic and countably sub-additive, and that  $\mathcal{H}^f(\emptyset) = 0$ . Thus it is an outer measure on  $\mathbb{R}^n$ .

In the case when  $f(x) = x^s$  for some  $s \geq 0$ , we write  $\mathcal{H}^s$  for  $\mathcal{H}^f$ . Furthermore, for any subset  $E$  one can verify that there exists a unique critical value of  $s$  at which  $\mathcal{H}^s(E)$  ‘jumps’ from infinity to zero. The value taken by  $s$  at this discontinuity is referred to as the *Hausdorff dimension of  $E$*  and is denoted by  $\dim_{\mathcal{H}} E$ ; i.e.,

$$\dim_{\mathcal{H}} E := \inf\{s \geq 0 : \mathcal{H}^s(E) = 0\}.$$

When  $s = n$ ,  $\mathcal{H}^n$  coincides with standard Lebesgue measure on  $\mathbb{R}^n$ .

Computing Hausdorff dimension of a set is typically accomplished in two steps: obtaining the upper and lower bounds separately.

Upper bounds often can be handled by finding appropriate coverings. When dealing with a limsup set, one usually applies the Hausdorff measure version of the famous Borel-Cantelli lemma (see Lemma 3.10 of [4]).

**Proposition 2.6.** *Let  $\{B_i\}_{i \geq 1}$  be a sequence of measurable sets in  $\mathbb{R}^n$  and suppose that for some dimension function  $f$ ,  $\sum_i f(\text{diam}(B_i)) < \infty$ . Then  $\mathcal{H}^f(\limsup_{i \rightarrow \infty} B_i) = 0$ .*

## 3. PROOF OF THEOREM 2.4

**3.1. The convergence case.** We are given that the series

$$\sum_{k=1}^{\infty} \sum_{j < \log_2 \Psi(2^k)} 2^{2k+j} f\left(\frac{2^{-(2k+j)}}{\Psi(2^{k+j})}\right) \tag{3.1}$$

converges. We can assume that  $\Psi(t) \geq 1$  for all  $t \gg 1$ . Otherwise,  $\Psi(t) < 1$  for all large  $t$  since we have assumed  $\Psi$  to be non-decreasing. Then it is obvious that the set

$$G_1(\Psi) = \{x \in [0, 1) : a_{n+1}(x) > \Psi(q_n) \text{ for i.m. } n \in \mathbb{N}\}$$

and thus

$$G(\Psi) = \left\{x \in [0, 1) : a_n(x)a_{n+1}(x) \geq \Psi(q_n) \text{ for i.m. } n \in \mathbb{N}\right\},$$

contains all irrational numbers in  $[0, 1]$ , and that the sum (3.1) diverges. Since  $\Psi$  is increasing and from (2.1) it follows that  $q_n \geq a_n q_{n-1}$ . We notice some obvious inclusions,

$$\begin{aligned} G(\Psi) &= \left\{ x \in [0, 1] : a_n(x)a_{n+1}(x) \geq \Psi(q_n) \text{ for i.m. } n \in \mathbb{N} \right\} \\ &\subseteq \left\{ x \in [0, 1] : a_n(x)a_{n+1}(x) \geq \Psi(a_n q_{n-1}) \text{ for i.m. } n \in \mathbb{N} \right\} \\ &\subseteq \bigcup_{n=N}^{\infty} \bigcup_{a_1, \dots, a_n} \bigcup_{a_{n+1} > \frac{\Psi(a_n q_{n-1})}{a_n}} I_{n+1}(a_1, \dots, a_n, a_{n+1}) \\ &= \mathcal{A}_1(\Psi) \cup \mathcal{A}_2(\Psi). \end{aligned}$$

Where

$$\begin{aligned} \mathcal{A}_1(\Psi) &= \bigcup_{n=N}^{\infty} \bigcup_{a_1, \dots, a_n} \bigcup_{a_n \leq \Psi(q_{n-1})} \bigcup_{a_{n+1} > \frac{\Psi(a_n q_{n-1})}{a_n}} I_{n+1}(a_1, \dots, a_n, a_{n+1}), \\ \mathcal{A}_2(\Psi) &= \bigcup_{n=N}^{\infty} \bigcup_{a_1, \dots, a_n} \bigcup_{a_n > \Psi(q_{n-1})} \bigcup_{a_{n+1} > \frac{\Psi(a_n q_{n-1})}{a_n}} I_{n+1}(a_1, \dots, a_n, a_{n+1}). \end{aligned}$$

3.1.1. *Covering for  $\mathcal{A}_1(\Psi)$ .* To estimate the Hausdorff measure of the set  $\mathcal{A}_1(\Psi)$ , we proceed as follows. Let

$$J_n(a_1, \dots, a_n) := \bigcup_{a_{n+1} > \frac{\Psi(a_n q_{n-1})}{a_n}} I_{n+1}(a_1, \dots, a_n, a_{n+1}).$$

Using (P<sub>1</sub>) in Proposition 2.1 and the recursive relation (2.1), the diameter of  $J_n(a_1, \dots, a_n)$  can be bounded as follows:

$$\begin{aligned} |J_n(a_1, \dots, a_n)| &= \sum_{a_{n+1} > \frac{\Psi(a_n q_{n-1})}{a_n}} \left| \frac{a_{n+1} p_n + p_{n-1}}{a_{n+1} q_n + q_{n-1}} - \frac{(a_{n+1} + 1)p_n + p_{n-1}}{(a_{n+1} + 1)q_n + q_{n-1}} \right| \\ &\leq \left| \frac{\frac{\Psi(a_n q_{n-1})}{a_n} p_n + p_{n-1}}{\frac{\Psi(q_n)}{a_n} q_n + q_{n-1}} - \frac{p_n}{q_n} \right| = \frac{1}{\left( \frac{\Psi(a_n q_{n-1})}{a_n} q_n + q_{n-1} \right) q_n} \\ &\leq \frac{1}{\Psi(a_n q_{n-1}) a_n q_{n-1}^2}. \end{aligned}$$

Let  $Q > 1$  and  $Q < q_{n-1} \leq 2Q$ . Then we can bound the diameter of  $J_n(a_1, \dots, a_n)$  as

$$|J_n(a_1, \dots, a_n)| \ll \frac{1}{\Psi(a_n Q) a_n Q^2}.$$

Hence, the cost of the cover when  $a_n < \Psi(q_{n-1})$ , is

$$\sum_{a=1}^{\Psi(Q)} f \left( \frac{1}{a Q^2 \Psi(a Q)} \right).$$

In the case  $a_n > \Psi(q_{n-1})$ , the cost of the cover is given by

$$f \left( \frac{1}{Q^2 \Psi(Q)} \right).$$

Since  $Q > 1$ , it follows from equation ((P<sub>1</sub>)) that for each window  $[Q, 2Q]$ , there are at most  $Q^2$  cylinders  $I_n$  of length comparable (up to a constant) to  $Q^{-2}$ . Multiplying

the cost of the cover given above by  $Q^2$  which are the number of such intervals, and then summing over all the windows  $Q = 2^k$ , we have

$$\sum_{Q=2^k; k \geq 1} Q^2 \sum_{a=1}^{\Psi(Q)} f\left(\frac{1}{aQ^2\Psi(aQ)}\right) + \sum_{Q=2^k; k \geq 1} Q^2 f\left(\frac{1}{Q^2\Psi(Q)}\right).$$

Applying Cauchy's condensation test on the second term, and rewriting the first term gives the total cost as

$$\sum_{\substack{k \geq 1 \\ Q=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(Q)}} Q^2 A f\left(\frac{1}{Q^2 A \Psi(QA)}\right) + \sum_q q f\left(\frac{1}{q^2 \Psi(q)}\right).$$

The second term is clearly smaller than the first term so we can ignore it. Thus, using Proposition 2.6, we have

$$\mathcal{H}^f(\mathcal{A}_1(\Psi)) = 0 \quad \text{if} \quad \sum_{k=1}^{\infty} \sum_{j < \log_2 \Psi(2^k)} 2^{2k+j} f\left(\frac{2^{-(2k+j)}}{\Psi(2^{k+j})}\right) < \infty.$$

3.1.2. *Covering for  $\mathcal{A}_2(\Psi)$ .* Next we notice that the set  $\mathcal{A}_2(\Psi)$  is a subset of the Jarník set, that is, it is contained in the set  $G_1(\Psi)$ . To see this note that if  $a_n \geq \Psi(q_{n-1})$  then it follows that  $a_{n+1} \geq \Psi(q_{n-1})$  for infinitely many  $n$ . This in turn implies that for any dimension function  $f$

$$\mathcal{H}^f(\mathcal{A}_2(\Psi)) \leq \mathcal{H}^f(G_1(\Psi)) \leq \mathcal{H}^f(\mathcal{K}(\Psi)) = 0 \iff \sum_q q f\left(\frac{1}{q^2 \Psi(q)}\right) < \infty.$$

However notice that the series above is smaller than the one we are claiming in our theorem. Hence combining both the coverings for  $\mathcal{A}_1(\Psi)$  and  $\mathcal{A}_2(\Psi)$ , and by using Proposition 2.6, we conclude that

$$\mathcal{H}^f(G(\Psi)) = 0 \quad \text{if} \quad \sum_{k=1}^{\infty} \sum_{j < \log_2 \Psi(2^k)} 2^{2k+j} f\left(\frac{2^{-(2k+j)}}{\Psi(2^{k+j})}\right) < \infty.$$

3.2. **The divergence case.** For the divergence case, we appeal to a recent criterion introduced by Hussain-Simmons [10]. We briefly state this criterion below and then use it to prove the divergence case in the subsequent subsection.

3.2.1. *A generalised Hausdorff measure criterion.* Let  $X$  be a metric space. For  $\delta > 0$ , a measure  $\mu$  is Ahlfors  $\delta$ -regular if and only if there exist positive constants  $0 < c_1 < 1 < c_2 < \infty$  and  $r_0 > 0$  such that the inequality

$$c_1 r^\delta \leq \mu(B(x, r)) \leq c_2 r^\delta$$

holds for every ball  $B := B(x, r)$  in  $X$  of radius  $r \leq r_0$  centred at  $x \in \text{Supp}(\mu)$ , where  $\text{Supp}(\mu)$  denotes the topological support of  $\mu$ . The space  $X$  is called Ahlfors  $\delta$ -regular if there is an Ahlfors  $\delta$ -regular measure whose support is equal to  $X$ . If  $X$  is Ahlfors  $\delta$ -regular, then so is the  $\mathcal{H}^\delta$  measure restricted to  $X$  i.e.  $\mathcal{H}^\delta \upharpoonright X$ .

**Theorem 3.1** (Hussain-Simmons, [10]). *Fix  $\delta > 0$ , let  $(B_i)_i$  be a sequence of open sets in an Ahlfors  $\delta$ -regular metric space  $X$ , and let  $f$  be a dimension function such that  $r \mapsto r^{-\delta} f(r)$  is decreasing, and  $r^{-\delta} f(r) \rightarrow \infty$  as  $r \rightarrow 0$ . Fix  $C > 0$ , and suppose that the following hypothesis holds:*

(\*) For every ball  $B_0 \subset X$  and for every  $N \in \mathbb{N}$ , there exists a probability measure  $\mu = \mu(B_0, N)$  with  $\text{Supp}(\mu) \subset \bigcup_{i \geq N} B_i \cap B_0$ , such that for every ball  $B = B(x, \rho) \subset X$ , we have

$$\mu(B) \lesssim \max \left( \left( \frac{\rho}{\text{diam } B_0} \right)^\delta, \frac{f(\rho)}{C} \right). \quad (3.2)$$

Then for every ball  $B_0$ ,

$$\mathcal{H}^f \left( B_0 \cap \limsup_{i \rightarrow \infty} B_i \right) \gtrsim C.$$

In particular, if the hypothesis (\*) holds for all  $C$ , then

$$\mathcal{H}^f \left( B_0 \cap \limsup_{i \rightarrow \infty} B_i \right) = \infty.$$

The condition “ $r^{-\delta} f(r) \rightarrow \infty$  as  $r \rightarrow 0$ ” is a natural condition which implies that  $\mathcal{H}^f(B) = \infty$ . The hypothesis (\*) is the main ingredient of this theorem and, roughly speaking, this gives a systematic way of constructing the probability measure on the limsup set.

**3.2.2. Proof of the divergence case.** Now we are in a position to prove the divergence case. We will prove, in particular, the following generalised form from which the divergence case of Theorem 1.8 readily follows.

A collection of maps  $u = (u_a)_{a \in E}$  is a Gauss Iterated Function System (GIFS) on  $\mathbb{R}$ , if:

- $E$  is a countable (finite or infinite) index set, which is referred to as an alphabet;
- $X \subseteq \mathbb{R}$  is a nonempty compact set which is equal to the closure of its interior;
- for all  $a \in E$ ,  $u_a(X) \subset X$ .

**Theorem 3.2.** Let  $(u_a)_{a \in E}$  be the Gauss iterated function system. For each finite word  $\omega \in E^*$  and  $a \leq \Psi(Q_\omega)$  let

$$S_{\omega a} = u_{\omega a}([0, a/\Psi(Q_\omega a)]).$$

Let  $f$  be a dimension function such that  $\sum_{\omega, a} f(\text{diam } S_{\omega a})$  diverges. Then

$$\mathcal{H}^f \left( \limsup_{\omega, a} S_{\omega a} \right) = \infty.$$

To apply theorem 3.2 to derive the Hausdorff measure of the set  $G(\Psi)$ , notice that the set  $S_{\omega a}$  corresponds to the set

$$\bigcup_{a_n \leq \Psi(q_{n-1})} \bigcup_{a_{n+1} > \frac{\Psi(a_n q_{n-1})}{a_n}} I_{n+1}(a_1, \dots, a_n, a_{n+1}).$$

Hence

$$\limsup_{\omega, a} S_{\omega a} \subseteq G(\Psi).$$

As in the convergence case for the set  $\mathcal{A}_1(\Psi)$ , let  $Q > 1$  and  $Q < q_{n-1} \leq 2Q$ . Then we have

$$\infty = \sum_{\omega, a} f(\text{diam } S_{\omega a}) \asymp \sum_{Q=2^k; k \geq 1} Q^2 \sum_{a=1}^{\Psi(Q)} f \left( \frac{1}{aQ^2 \Psi(aQ)} \right) \asymp \sum_{k=1}^{\infty} \sum_{j < \log_2 \Psi(2^k)} 2^{2k+j} f \left( \frac{2^{-(2k+j)}}{\Psi(2^{k+j})} \right).$$

*Proof of Theorem 3.2.* Fix  $B_0 \subset [0, 1]$  and  $N \in \mathbb{N}$ , and we will construct the measure  $\mu = \mu(B_0, N)$  such that the hypothesis (\*) in Theorem 3.1 holds.

For each  $k, \ell \in \mathbb{N}$  let

$$A_{k,\ell} = \{(\omega, a) : 2^k \leq Q_\omega < 2^{k+1}, 2^\ell \leq a < 2^{\ell+1}\}.$$

Then for all  $(\omega, a) \in A_{k,\ell}$ , we have  $\text{diam}(S_{\omega a}) \asymp \rho_{k,\ell}$ . Thus

$$\sum_{k,\ell} \#(A_{k,\ell}) f(\rho_{k,\ell}) = \infty.$$

Let

$$A'_{k,\ell} = \{(\omega, a) \in A_{k,\ell} : S_{\omega a} \subset B_0\}.$$

**Claim 3.3.**

$$\#(A'_{k,\ell}) \gtrsim \#(A_{k,\ell}) |B_0|$$

for all  $k, \ell \geq N_0$ , for some sufficiently large  $N_0$ .

*Proof.* Indeed, consider the set

$$\{\tau b : Q_\tau < 2^k \leq Q_{\tau b}\}.$$

Fix  $\tau$  such that  $Q_\tau < 2^k$ . Since  $Q_{\tau b} = bQ_\tau + Q_{\tau'}$ , where  $\tau'$  is  $\tau$  minus its last symbol, it follows that there are approximately  $2^k/Q_\tau$  values of  $b$  such that  $2^k \leq Q_{\tau b} < 2^{k+1}$ . On the other hand, the set

$$\bigcup_{b: 2^k \leq Q_{\tau b}} u_{\tau b}([0, 1]) \quad (3.3)$$

has measure approximately  $(2^k/Q_\tau)^{-1} Q_\tau^{-2} = 2^{-k}/Q_\tau$ . Since the sets (3.3) form a disjoint cover of  $[0, 1]$ , it follows that

$$\begin{aligned} \#(A'_{k,\ell}) &\geq 2^\ell \#\{\omega : 2^k \leq Q_\omega < 2^{k+1}, S_\omega \cap B_0 \neq \emptyset\} \\ &\geq 2^\ell \sum_{\substack{Q_\tau < 2^k \\ S_\tau \cap B_0 \neq \emptyset}} \left| \bigcup_{b: 2^k \leq Q_{\tau b}} u_{\tau b}([0, 1]) \right| \\ &\asymp 2^\ell |B_0| \#\{\tau : Q_\tau < 2^k, S_\tau \cap B_0 \neq \emptyset\} \\ &\asymp \#(A_{k,\ell}) |B_0|. \end{aligned}$$

□

It follows that

$$\sum_{k,\ell \geq N_0} \#(A'_{k,\ell}) f(\rho_{k,\ell}) = \infty.$$

Fix  $N_1$  such that

$$\Omega = \sum_{N_0 \leq k, \ell \leq N_1} \#(A'_{k,\ell}) f(\rho_{k,\ell}) \geq C$$

and define the measure  $\mu$  as follows:

$$\mu = \frac{1}{\Omega} \sum_{N_0 \leq k, \ell \leq N_1} \sum_{(\omega, a) \in A'_{k,\ell}} f(\rho_{k,\ell}) \lambda_{S_{\omega a}},$$

where  $\lambda_A$  is normalized Lebesgue measure on a set  $A$ .

Let  $B = u_\tau([1/b_1, 1/b_2])$  for some  $\tau, b_1, b_2$  (possibly  $b_2 = 1$ ). Next we estimate  $\mu(B)$  and show that it satisfies (3.2). Let

$$A''_{k,\ell} = \{(\omega, a) \in A_{k,\ell} : S_{\omega a} \subset B\}.$$

Then clearly

$$\#(A''_{k,\ell}) \lesssim \#(A_{k,\ell})|B| \asymp \#(A'_{k,\ell}) \frac{|B|}{|B_0|}$$

and thus

$$\frac{1}{\Omega} \sum_{N_0 \leq k, \ell \leq N_1} \sum_{(\omega, a) \in A''_{k,\ell}} f(\rho_{k,\ell}) \lambda_{S_{\omega a}}(B) \lesssim \frac{1}{\Omega} \sum_{N_0 \leq k, \ell \leq N_1} \#(A'_{k,\ell}) \frac{|B|}{|B_0|} f(\rho_{k,\ell}) = \frac{|B|}{|B_0|}.$$

Now for all  $(\omega, a)$  such that  $S_{\omega a} \cap B \neq \emptyset$ , we have either  $S_{\omega a} \subset B$  or  $B \subset S_{\omega a}$ . If the latter case never holds, then we are done. Otherwise, we have

$$\mu(B) = \frac{1}{\Omega} f(\rho_{k,\ell}) \lambda_{S_{\omega a}}(B),$$

where  $(\omega, a) \in A'_{k,\ell}$  is chosen so that  $B \subset S_{\omega a}$ . Since  $\Omega \geq C$  and  $\rho_{k,\ell} \asymp |S_{\omega a}|$ , we have

$$\mu(B) \lesssim \frac{f(\text{diam } B)}{C}$$

in this case.

Now let  $B_1$  be an arbitrary ball, and let  $\omega$  be the longest word such that  $B \subset S_\omega$ . If there exist distinct  $n_1, n_2 \in \mathbb{N}$  such that  $u_\omega(1/n_i) \in B_1$ , then let  $b_1 = \lfloor 1/\max(B_1) \rfloor$  and  $b_2 = \lceil 1/\min(B_1) \rceil$  and let  $B$  be as above. Then  $\text{diam}(B_1) \asymp \text{diam}(B)$  and  $B_1 \subset B$ , so it follows from the previous paragraph that

$$\mu(B_1) \lesssim \frac{f(\text{diam } B_1)}{C}.$$

On the other hand, if there do not exist such distinct  $n_1, n_2$ , then by the maximality of  $\omega$  there exists one such  $n \in \mathbb{N}$  such that  $u_\omega(1/n) \in B_1$ . Let  $n_1 = n$  and  $n_2 = n + 1$ , and let  $b_i$  be maximal such that

$$B_1 \subset u_{n_1}([0, 1/b_1]) \cup u_{n_2}([0, 1/b_2]).$$

The argument of the previous paragraph shows that for all  $i = 1, 2$ ,

$$\mu(u_{\omega n_i}([0, 1/b_i])) \lesssim \frac{f(\text{diam } u_{\omega n_i}([0, 1/b_i]))}{C}$$

and on the other hand, the maximality of  $b_i$  gives

$$\text{diam}(u_{\omega n_i}([0, 1/b_i])) \asymp \text{diam}(B_1).$$

It follows that

$$\mu(B_1) \lesssim \frac{f(\text{diam } B_1)}{C}.$$

Hence the proof of Theorem 3.2 is complete.  $\square$

#### 4. PROOFS OF THE COROLLARIES

**4.1. Proof of Corollary 1.9.** Recall that

$$\sum_{\substack{k \geq 1 \\ Q=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(Q)}} Q^2 A f\left(\frac{1}{Q^2 A \Psi(QA)}\right) \asymp \sum_{j < \log_2 \Psi(2^k)} 2^{2k+j} f\left(\frac{2^{-(2k+j)}}{\Psi(2^{2k+j})}\right).$$

The proof of the corollary follows if we show that, for the dimension function  $f$  satisfying (1.7), the following two series are equivalent

$$\sum_{\substack{k \geq 1 \\ Q=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(Q)}} Q^2 A f\left(\frac{1}{Q^2 A \Psi(QA)}\right) \asymp \sum_q q \log(\Psi(q)) f\left(\frac{1}{q^2 \Psi(q)}\right). \quad (4.1)$$

Since  $x^{-1}f(x)$  is monotonic, we have that

$$Af\left(\frac{x}{A}\right) \leq A^2 f\left(\frac{x}{A^2}\right).$$

Using this inequality we have

$$\begin{aligned} \sum_{\substack{k \geq 1 \\ Q=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(Q)}} Q^2 Af\left(\frac{1}{Q^2 A \Psi(QA)}\right) &\ll \sum_{\substack{k \geq 1 \\ Q=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(Q)}} Q^2 A^2 f\left(\frac{1}{Q^2 A^2 \Psi(QA)}\right) \\ &\asymp \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(R)}} R^2 f\left(\frac{1}{R^2 \Psi(R)}\right) \text{ by setting } R = QA \\ &\asymp \sum_q q \log(\Psi(q)) f\left(\frac{1}{q^2 \Psi(q)}\right). \end{aligned}$$

For the reverse inequality, let  $f$  satisfy the condition (1.7). For convenience, let  $f(x) = x(\log(1/x))^{a_1}$  with  $a_1 \geq 0$ . Clearly the series are equivalence for  $a_1 = 0$ , therefore we assume that  $a_1 > 0$ . For this, we show that  $f(x) \gg Af(x/A)$ , where  $x = \frac{1}{Q^2 A^2 \Psi(QA)}$ .

Clearly  $Ax \leq 1$ .

$$\begin{aligned} &\implies \log(A/x) \leq \log(1/x)^2 \\ &\implies \log(A/x)^{a_1} \leq 2^{a_1} \log(1/x)^{a_1}. \end{aligned}$$

Thus,

$$x(\log(1/x))^{a_1} \gg A \frac{x}{A} (\log(A/x))^{a_1}.$$

Hence,

$$\sum_{\substack{k \geq 1 \\ Q=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(Q)}} Q^2 Af\left(\frac{1}{Q^2 A \Psi(QA)}\right) \gg \sum_{\substack{k \geq 1 \\ Q=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(Q)}} Q^2 A^2 f\left(\frac{1}{Q^2 A^2 \Psi(QA)}\right).$$

**Remark 4.1.** We suspect that the claim (4.1) should be true for any NESL dimension functions and for that the full potential of the NESL condition (1.6) should be exploited. That is,  $f$  is NESL if and only if for any  $B > 1$  there exists a sequence  $x_n \rightarrow 0$  such that  $f(Bx_n)/f(x_n) \geq B$ . Since  $x^{-1}f(x)$  is decreasing, for any  $B \geq 1$ , there exists a sequence  $x_n \rightarrow 0$  such that  $\frac{f(Bx_n)}{Bf(x_n)} \rightarrow 1$ . The main difficulty is that the sequence can be very sparse and there is no concrete information on the behaviour of  $f$  for such sequences. We refer the reader to the first version of this article on the arXiv (<https://arxiv.org/abs/2010.14760>, pp. 8-9) for an alternative argument that may be useful.

**4.2. Proof of Corollary 1.10.** Just like the proof of the Corollary 1.9, we show the equivalence of the series (4.1) for the approximating function  $\Psi$  assuming a growth condition (1.8), i.e. for all  $x > 0$  and  $Q > 1$ ,  $\Psi(Q^x) \asymp \Psi(Q)$ . In this case, we have

$$\begin{aligned} \sum_{\substack{k \geq 1 \\ Q=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(Q)}} Q^2 Af\left(\frac{1}{Q^2 A \Psi(QA)}\right) &\asymp \sum_{\substack{k \geq 1 \\ Q=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(Q)}} Q^2 Af\left(\frac{1}{Q^2 A \Psi(QA^{1/2})}\right) \\ &\asymp \sum_{\substack{k \geq 1 \\ R=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(R)}} R^2 f\left(\frac{1}{R^2 \Psi(R)}\right) \text{ by setting } R = QA^{1/2} \\ &\asymp \sum_q q \log(\Psi(q)) f\left(\frac{1}{q^2 \Psi(q)}\right) \end{aligned}$$

4.3. **Proof of Corollary 1.11.** Let  $f$  be an ESL dimension function. We show that

$$\sum_{\substack{k \geq 1 \\ Q=2^k}} \sum_{\substack{j \geq 1, A=2^j \\ A < \Psi(Q)}} Q^2 A f \left( \frac{1}{Q^2 A \Psi(QA)} \right) \asymp \sum_q q f \left( \frac{1}{q^2 \Psi(q)} \right) \asymp \sum_q q \log(\Psi(q)) f \left( \frac{1}{q^2 \Psi(q)} \right).$$

Recall the covering argument for  $\mathcal{A}_1(\Psi)$

$$|J_n(a_1, \dots, a_n)| \leq \frac{1}{\Psi(a_n q_{n-1}) a_n q_{n-1}^2} \ll \frac{1}{\Psi(q_n) a_n q_{n-1}^2} \asymp \frac{1}{\Psi(q_n) q_{n-1} q_n}.$$

Next following the same method as in [9, pp. 512-13], we arrive at the

$$\mathcal{H}^f(\mathcal{A}_1(\Psi)) \leq 2 \liminf_{N \rightarrow \infty} \sum_{q \geq 2^{(N-1)/2}} \sum_{\substack{q \\ \frac{q}{\Psi(q)} < p < q}} f \left( \frac{1}{pq \Psi(q)} \right).$$

Following [9], the restriction on  $p$  follows from the fact that, since  $a_n < \Psi(q_{n-1})$ ,

$$q_n \leq (a_n + 1)q_{n-1} \ll \Psi(q_{n-1})q_{n-1} < \Psi(q_n)q_{n-1} \implies q_{n-1} > \frac{q_n}{\Psi(q_n)}.$$

It can be seen that if

$$\sum_{q=1}^{\infty} \sum_{\substack{q \\ \frac{q}{\Psi(q)} < p \leq q}} f \left( \frac{1}{pq \Psi(q)} \right) < \infty,$$

then it readily follows from Proposition 2.6 that  $\mathcal{H}^f(\mathcal{A}_1(\Psi)) = 0$ . Next we compare all these series by using the condition (1.4),

$$\begin{aligned} \sum_{q=1}^{\infty} q \log(\Psi(q)) f \left( \frac{1}{q^2 \Psi(q)} \right) &= \sum_{q=1}^{\infty} \sum_{\substack{q \\ \frac{q}{\Psi(q)} < p \leq q}} \frac{q}{p} f \left( \frac{1}{q^2 \Psi(q)} \right) \\ &\stackrel{(1.4)}{\geq} \sum_{q=1}^{\infty} \sum_{\substack{q \\ \frac{q}{\Psi(q)} < p \leq q}} f \left( \frac{1}{pq \Psi(q)} \right) \\ &\leq \sum_{q=1}^{\infty} \sum_{1 < p \leq q} f \left( \frac{1}{pq \Psi(q)} \right) \\ &\stackrel{(1.5)}{\asymp} \sum_{q=1}^{\infty} q f \left( \frac{1}{q^2 \Psi(q)} \right) \\ &\leq \sum_{q=1}^{\infty} q \log(\Psi(q)) f \left( \frac{1}{q^2 \Psi(q)} \right). \end{aligned}$$

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